§1 RANDOM-TERNARY-QUADS

1* Intro. Here's an implementation of the Panholzer–Prodinger algorithm, which generates a uniformly random "decorated" ternary tree. (It generalizes the binary method of Rémy, Algorithm 7.2.1.6R, and solves exercise 7.2.1.6–65.) They presented it in *Discrete Mathematics* **250** (2002), 181–195, but without spelling out an efficient implementation.

Although the algorithm is short, it is not easy to discover; the reader who thinks otherwise is invited to invent it before reading further.

I'm using a linked structure as in the presentation of in Rémy's method in Volume 4A: There are 3n + 1 links L_0, L_1, \ldots, L_{3n} , which are a permutation of the integers $\{0, 1, \ldots, 3n\}$. Internal (branch) nodes have numbers congruent to 2 (mod 3). The root is node number L_0 ; the descendants of branch 3k - 1 are the nodes numbered $L_{3k-2}, L_{3k-1}, L_{3k}$. For example, if n = 3 and $(L_0, L_1, \ldots, L_9) = (5, 0, 1, 3, 2, 6, 7, 8, 4, 9)$, the root is node 5 (a branch node); its left child is node 2 (another branch), its middle child is node 6 (external), and its right child is node 8 (yet another branch).

I also maintain the inverse permutation (P_0, \ldots, P_{3n}) , so that we can determine the parent of each node. #define *nmax* 1000

```
#include <stdio.h>
#include <stdlib.h>
#include "gb_flip.h"
                             /* random number generator from the Stanford GraphBase */
  int nn, seed;
                   /* command-line parameters */
  int L[nmax], P[nmax];
                              /* the links and their inverses */
  main(int argc, char * argv[])
  ł
    register int i, j, k, n, nnn, p, q, r, x;
    \langle \text{Process the command line } 2 \rangle;
    for (n = L[0] = P[0] = 0; n < nn;)
       (Extend a solution for n to a solution for n + 1, and increase n = 3);
    (Print the answer in 'quad' format 7^*);
  }
2. (Process the command line 2 \ge 1) =
```

```
if (argc ≠ 3 ∨ sscanf (argv[1], "%d", &nn) ≠ 1 ∨ sscanf (argv[2], "%d", &seed) ≠ 1) {
    fprintf (stderr, "Usage: _\%s_n_seed\n", argv[0]);
    exit(-1);
  }
  gb_init_rand(seed);
```

This code is used in section 1^* .

2 INTRO

3. #define sanity_checking 1

(Extend a solution for n to a solution for n+1, and increase n=3) \equiv

```
ł
  n++;
  nnn = 3 * n;
  x = gb\_unif\_rand(3 * (nnn - 1) * (nnn - 2));
  p = nnn - (x \% 3);
  q = nnn - ((x + 1) \% 3);
  r = nnn - ((x + 2) \% 3);
  k = ((int)(x/3)) \% (nnn - 2);
  j = (int)(x/(9 * n - 6));
  L[p] = nnn, P[nnn] = p;
  L[q] = L[k], P[L[k]] = q, L[k] = nnn - 1, P[nnn - 1] = k;
  \langle \text{Do the magic switcheroo } 5 \rangle;
  if (sanity_checking) {
    for (i = 0; i < nnn; i++)
       if (P[L[i]] \neq i) {
         fprintf(stderr, "(whoa---the_links_are_fouled_up!)\n");
          exit(-2);
       }
  }
}
```

This code is used in section 1^* .

4. Variables j and L_k correspond to P-and-P's nodes y and x; they are random integers with $0 \le j \le 3n+1$ and $0 \le k \le 3n$. The basic idea is to insert a new branch node (node number 3n-1) in place of node L_k ; but this new node has the old node L_k as one of its children (pointed to by L_q), so we haven't really lost anything. Another child, pointed to by L_p , is the leaf 3n. The third child, pointed to by L_r , has to somehow encode the fact that we also need to place the leaf 3n-2 while maintaining randomness.

There are two main cases, depending on whether node number y is a proper ancestor of node number x. The crucial point, proved in the paper, is that we can recover x, y, and p by looking at the switched links.

5. $\langle \text{ Do the magic switcheroo } 5 \rangle \equiv$ for $(i = k + 1 - ((k + 2) \% 3); i > 0 \land i \neq j; i = P[i] + 1 - ((P[i] + 2) \% 3));$ if $(i > 0) \langle \text{ Do the harder case } 6 \rangle$ else { if $(j \equiv L[q]) \{ /* y = x */$ L[r] = L[q], P[L[q]] = r, L[q] = nnn - 2, P[nnn - 2] = q;} else if $(j \equiv nnn - 2) \{ /* y \text{ is the special leaf } */$ L[r] = nnn - 2, P[nnn - 2] = r;} else L[P[j]] = nnn - 2, P[nnn - 2] = P[j], L[r] = j, P[j] = r;}

This code is used in section 3.

6. The "harder case" isn't really hard for the computer; it's just harder for a human being to visualize. $\langle Do \text{ the harder case } 6 \rangle \equiv$

$$L[k] = nnn - 2, P[nnn - 2] = k; i = P[j]; /* the link to y */ L[i] = nnn - 1, P[nnn - 1] = i, L[r] = j, P[j] = r;$$

This code is used in section 5.

7* This version outputs the tree in the format accepted as command-line arguments to the program SKEW-TERNARY-CALC-RAW (which see).

This code is used in section 1^* .

4 INDEX

8* Index.

The following sections were changed by the change file: 1, 7, 8.

 $\begin{array}{rrrr} argc: & \underline{1}, \ 2.\\ argv: & \underline{1}, \ 2.\\ exit: & 2, \ 3. \end{array}$ fprintf: 2, 3. $gb_init_rand: 2.$ $gb_unif_rand:$ 3. *i*: <u>1</u>* $j: \underline{1}^*$ $k: \underline{\underline{1}}^*$ $L: \underline{1}^*$ main: $\underline{1}^*$. $n: \underline{1}^*$ $nmax: \underline{1}^*$ *nn*: $1^*, 2, 7^*$. *nnn*: $1^*, 3, 5, 6$. $P: \underline{1}^*$ $p: \underline{\underline{1}^*}$ printf: 7.* $q: \underline{1}^*$ $r: \underline{1}^*$ sanity_checking: $\underline{3}$. seed: $\underline{1}^*, \underline{2}$. sscanf: 2.stderr: 2, 3. $x: \underline{1}^*$

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 $\big<\, {\rm Do}$ the harder case 6 $\big>$ $\,$ Used in section 5.

 $\langle Do the magic switcheroo 5 \rangle$ Used in section 3.

 \langle Extend a solution for n to a solution for n + 1, and increase $n = 3 \rangle$ Used in section 1*.

 \langle Print the answer in 'quad' format 7^{*} \rangle Used in section 1^{*}.

 $\langle Process the command line 2 \rangle$ Used in section 1^{*}.

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